

William Froemming

Principal IT Auditor

IT Audit professional with 8 years of experience specializing in technical audits, such as online banking, InfoSec Ops, network management, and cloud. I excel at quickly understanding the IT environment and technologies used to identify the appropriate scope, execute control testing, and provide value add management recommendations. I enjoy engaging with stakeholders to come up with effective solutions for both parties with the goal of managing risk for the overall organization.

Experience

JP Morgan Chase / First Republic Bank – Los Angeles, CA

Principal IT Auditor | February 2023 – Present
Senior IT Auditor | July 2021 – February 2023

- Assisted in the First Republic Bank to JP Morgan transition as an Auditor in Charge (AIC) for special projects over integration activities. Specifically, the team created a risk assessment to identify areas of high-risk during the expedited merger and performing special projects over third-party, data, and access management.
- Mentored and advised 2 colleagues during tenure at the Bank as their administrative supervisor by providing feedback through semi-annual reviews and throughout the year with regular 1x1 touchpoints.
- Managed quarterly audits, with 5+ team members per audit, throughout the defined audit lifecycle – planning, fieldwork, reporting, and issue tracking.
- Obtained a deep understanding of processes at the Bank, such as, IT asset management, identify and access management, and information security operations, and the various technologies used to facilitate these processes.
- Collaborated with stakeholders, including VP Information Security, Deputy CISO, and VP of Information Services, to understand business risks and establish a robust program to adequately scope the engagement and perform detailed testing of control.
- Completed a mapping of over 1,800 FFIEC regulatory guidance requirements and sub-requirements to the annual audit plan to determine coverage and gaps. For gaps identified related to network management and data management, led audits over the auditable entity and implemented and identified relevant controls.
- Participated in the Audit groups Data Champions (DC) program. Data Champions are responsible for identifying use cases where the Data and Analytics group can automate audit functions. Automated the audit evidence export workflow in ServiceNow to pull ticket PDFs for required samples, saving the audit team 40+ hours during the annual audit cycle.

CNM LLP – Los Angeles, CA

Senior Associate | February 2018 – July 2021

- Worked with various pre-IPO and newly public organizations to understand and assess the security of their AWS cloud environments and infrastructure

Personal Information

Location

Los Angeles, CA

Phone

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E-mail

williambfroemming@gmail.com

Education

University of California – Berkley
School of Information
Master of Information and Cybersecurity
January 2024 – August 2025
(Accepted)

Indiana University – Kelley
School of Business
Majors: Accounting, Technology Management
August 2012 – May 2015

Certifications

Certified in Risk and Information Systems Control (CRISC)

Certified Information Systems Auditor (CISA)

Certified Cloud Security Professional (CCSP)

AWS Certified Solutions Architect – Associate

AWS Certified Developer – Associate

AWS Certified Cloud Practitioner

Experience (Cont.)

the platforms are built on.

- Performed gap assessments for organizations to identify the current and future state control environments. Relayed gaps to management and assisted with the implementation of remediation efforts.
- Acted as the liaison between external audit and management effectively through extensive knowledge of audit requirements.
- Ensured that internal controls over IT complied with relevant frameworks and standards such as COBIT, COSO, SOC, SOX, FFIEC, and various other regulatory frameworks.

Protiviti, Inc. – Chicago, IL / Los Angeles, CA

Senior Consultant | July 2017 – February 2018

Consultant | September 2015 – July 2017

- Led the transformation of SOX, SOC, and other IT Compliance activities, identifying use cases to leverage automation and streamline control testing, where possible.
- Conducted thorough risk assessments, identifying and quantifying technology risks across corporate functions.
- Aligned technology audit work with enterprise risk prioritization,
- Evaluated technology controls to ensure compliance with legal and regulatory requirements, including privacy legislation, collaborating closely with Fraud, Security, and Compliance teams.

Skills

Technology Risk Management
IT Auditing
IT Risk Assessments
Internal Audit
Relationship Building
Project Management
Regulatory Compliance
Report Preparation

System Experience

Teammate (GRC)
SailPoint
ServiceNow
Splunk
System Center Configuration
Manager (SCCM)