

















Civic Technology Policy

Surveillance Privacy Algorithmic biases Cybersecurity

Greenfield (2017) ACLU (2011) Winner (1980) Ghena et al. (2014)

Foucault (1977) Bay Area Surveillance Garvie and Fr (2017) (2016)

Garvie and Frankle

Kim et al. (2017)

Smart City Initiatives

OrganizationsCitiesCity InnovateChicagoMetroLab NetworkOaklandArray of ThingsSeattle

Critical Design

| Theory | Projects |
|----------------|---------------------------|
| DiSalvo (2014) | Sense Your City (2015) |
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| Lukens (2012) | Hello Lamppost (2013) |

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(2016)

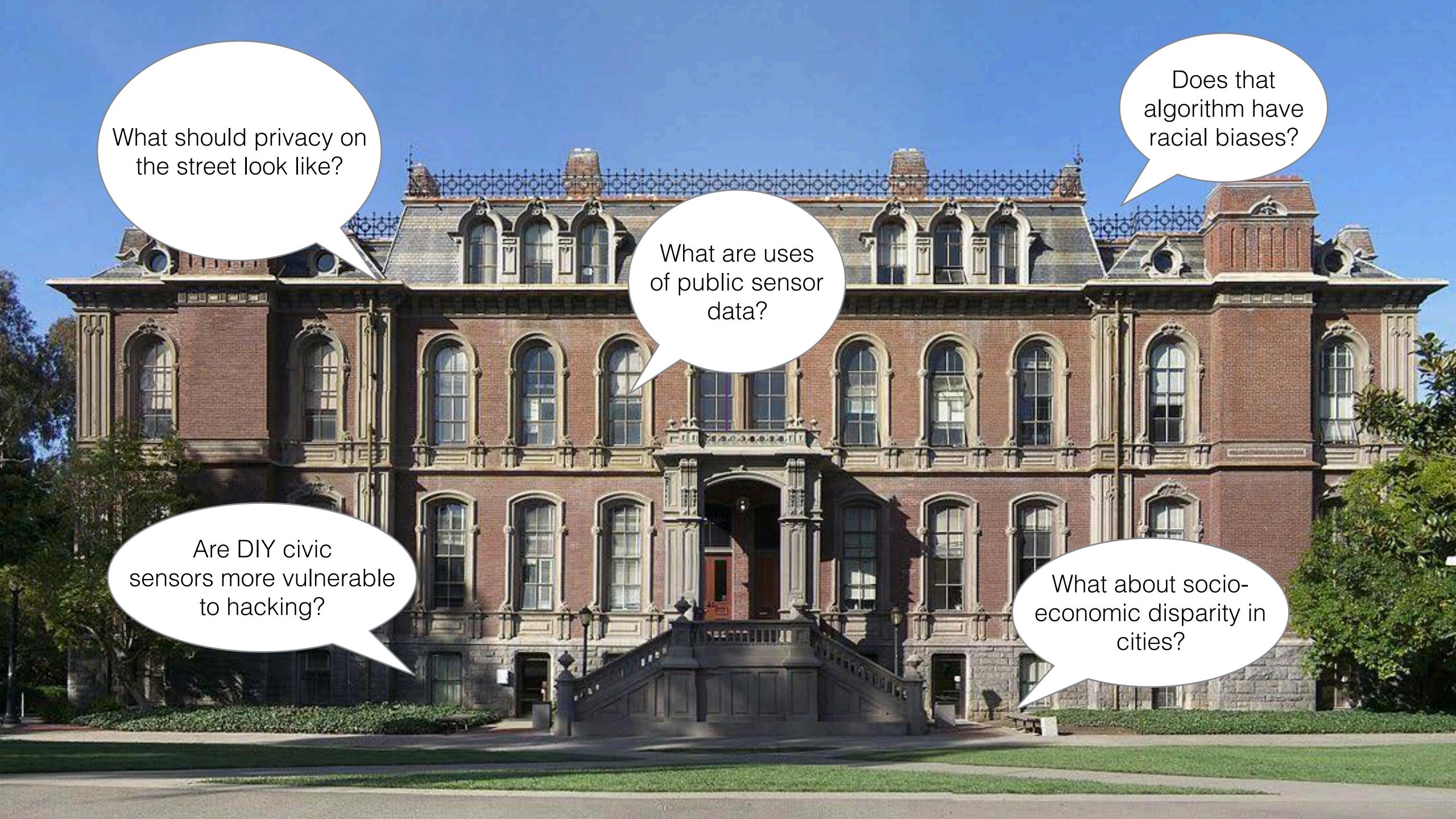
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Array of Things Operating Policies

August 15, 2016

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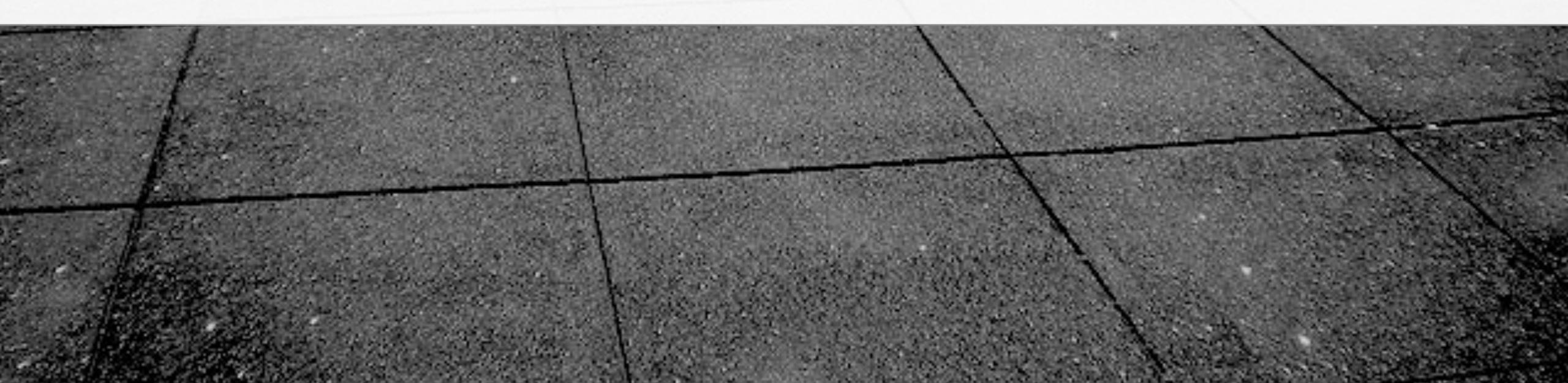
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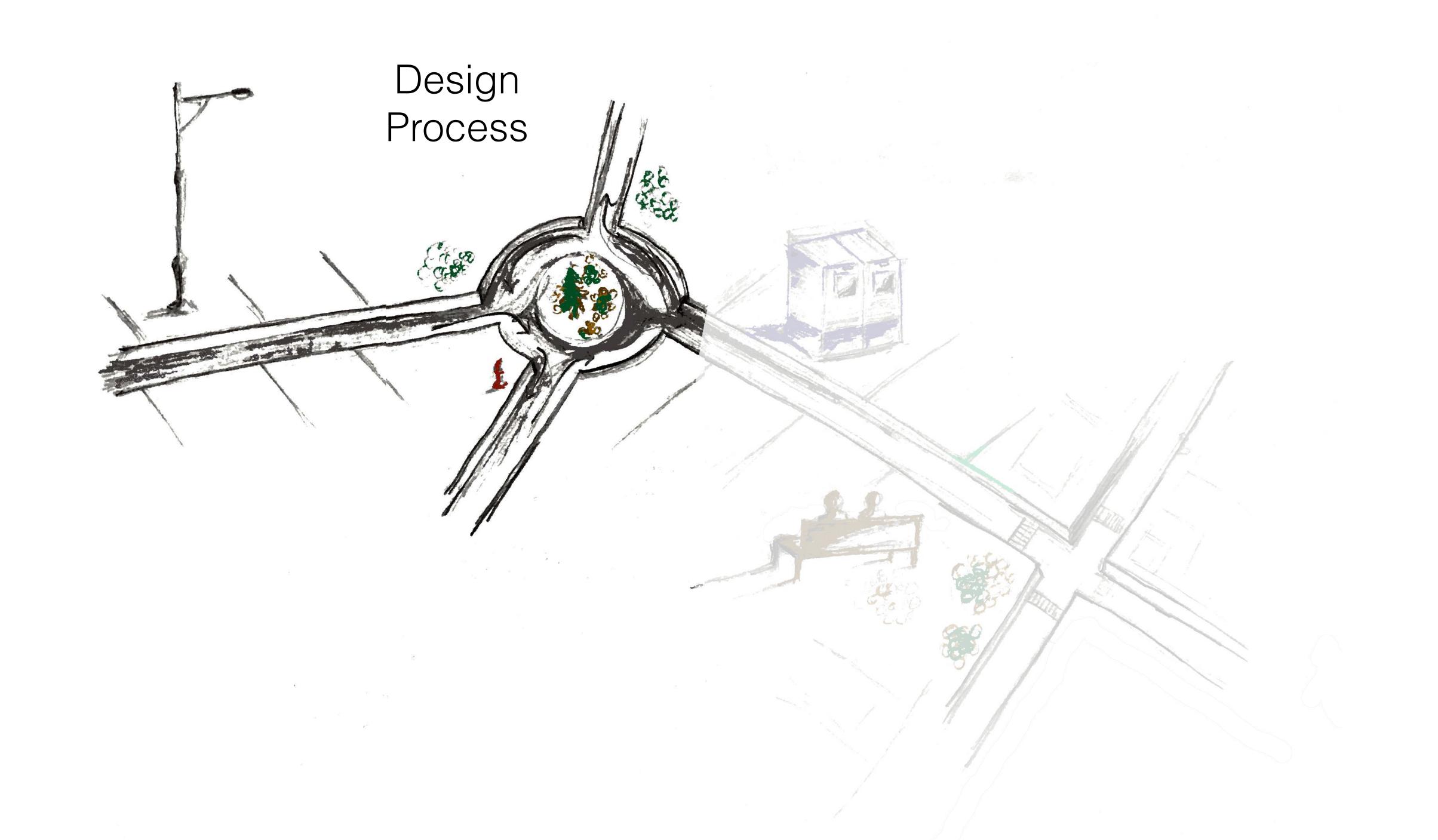


Town Hall Meeting



How can we use a **novel**, **interactive installation** to surface the **benefits and harms** of **smart city technology**?





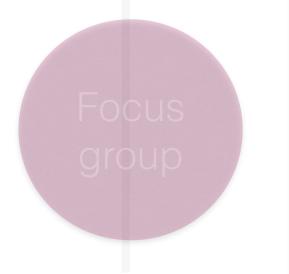
Expert Local Focus Sketching Survey interviews Artists group Surveyed Ran a design Interviewed 530 people* Feedback 6 experts focus group across the from local with 12 Too many and US on artists who sketches to attended 3 participants privacy have projects conferences from various count attitudes in the city in the IoT UC Berkeley environment departments field (35 questions) *over age 18



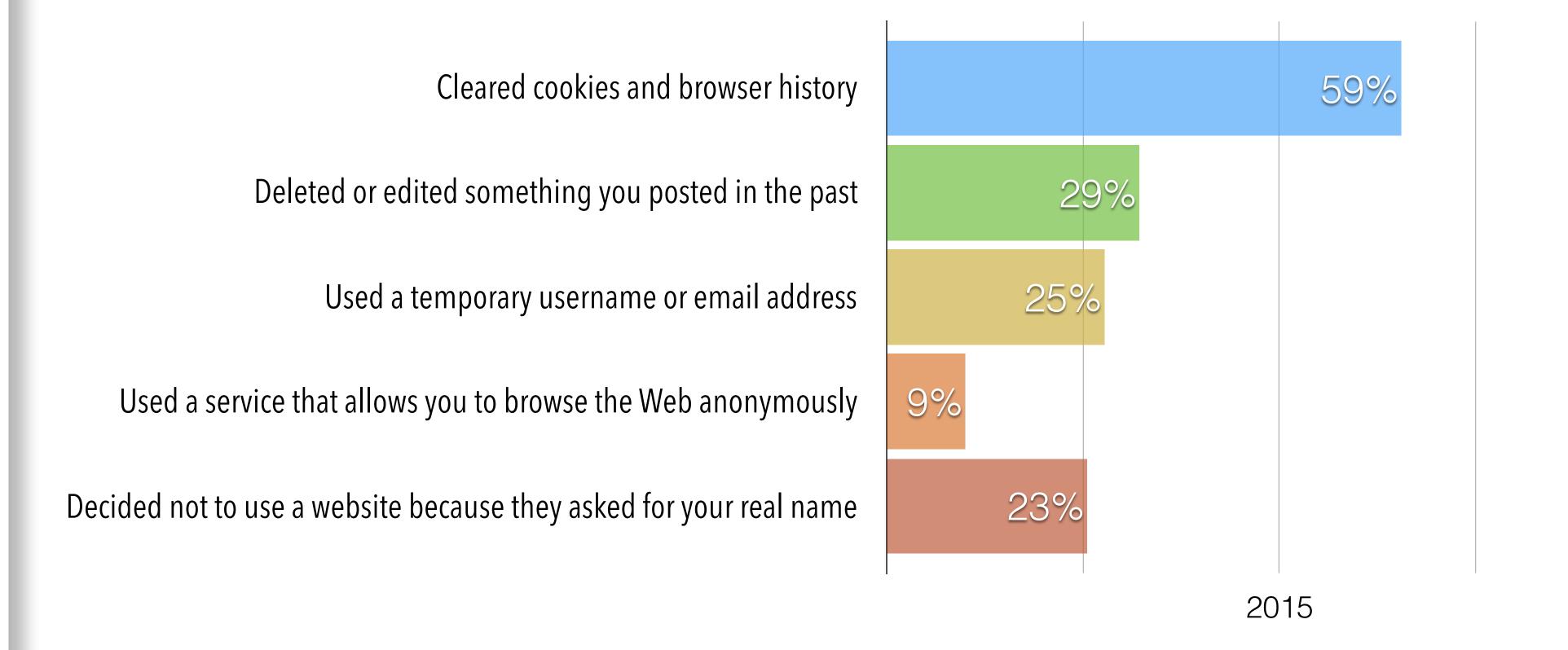








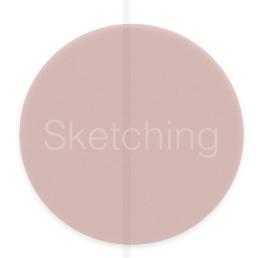
While using the internet, have you ever done any of the following things? (2015 v 2017)



Surveyed 530 people online across the US on privacy attitudes (35 questions)



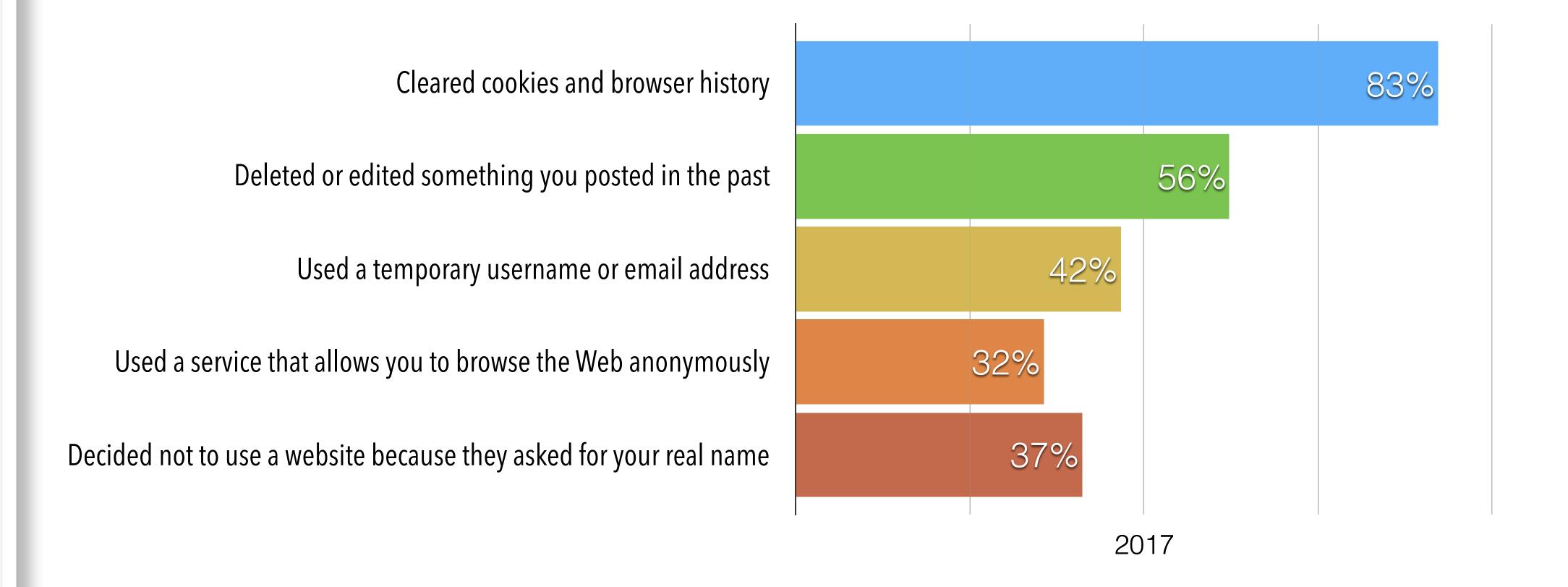








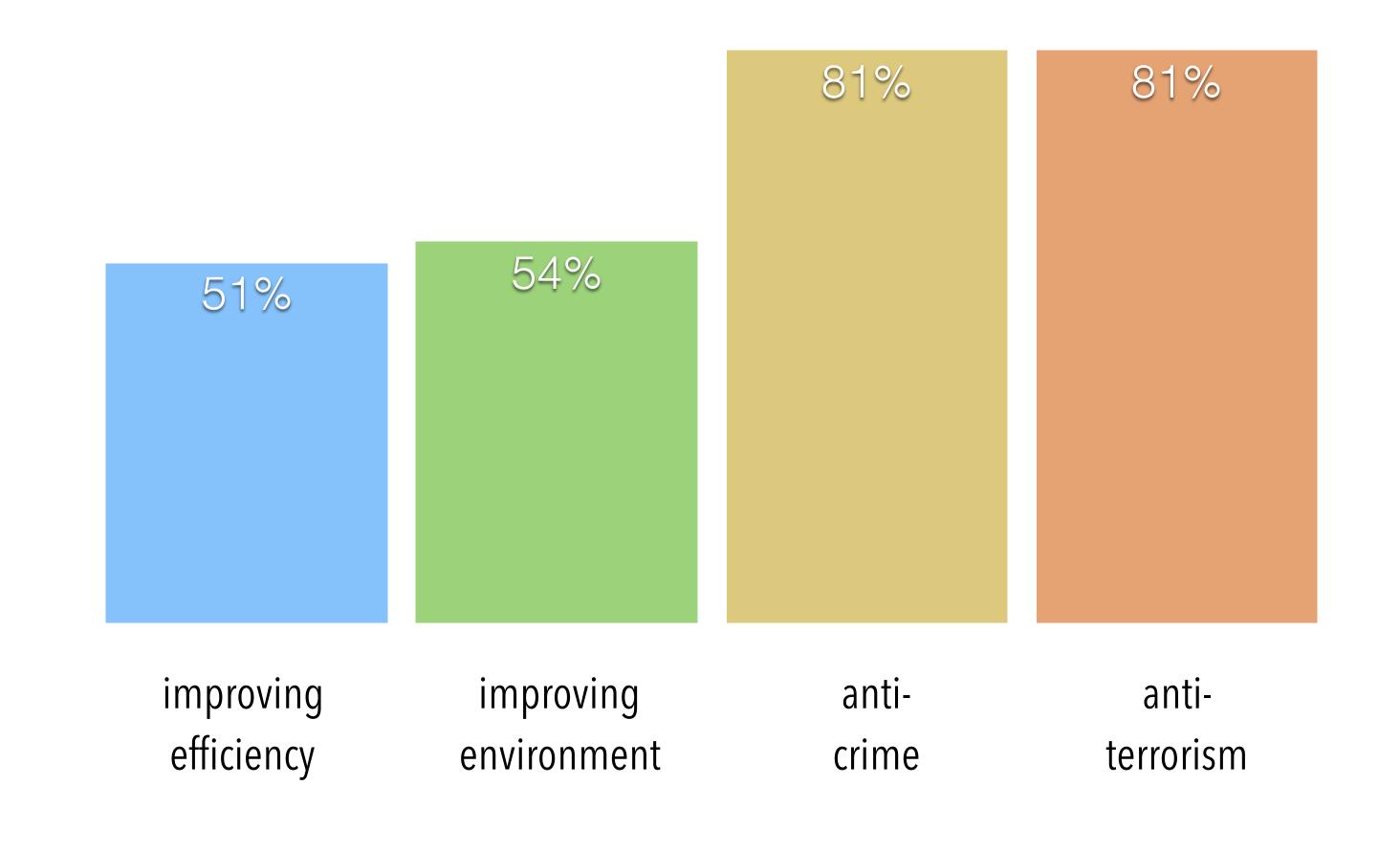
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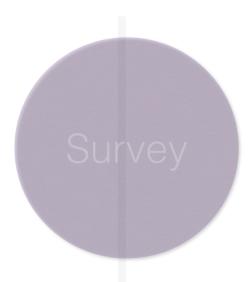
Surveyed 530 people online across the US on privacy attitudes (35 questions)



To what extent do you approve of the use of sensors in public spaces for the following activities?



Surveyed 530 people online across the US on privacy attitudes (35 questions)











Cities have a hard time communicating with the general public about benefits and harms of smart cities.

- City Policy Innovation Interviewee

Interviewed 6 experts and attended 3 conferences in the IoT field



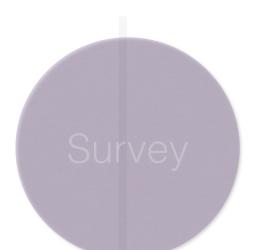




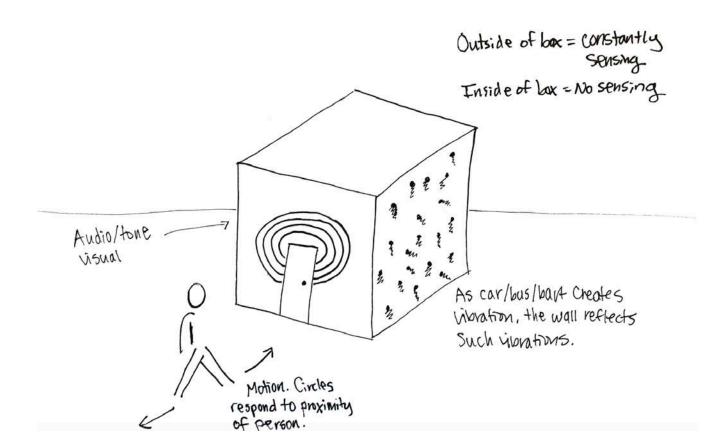




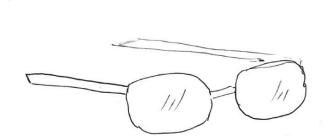




Sketching



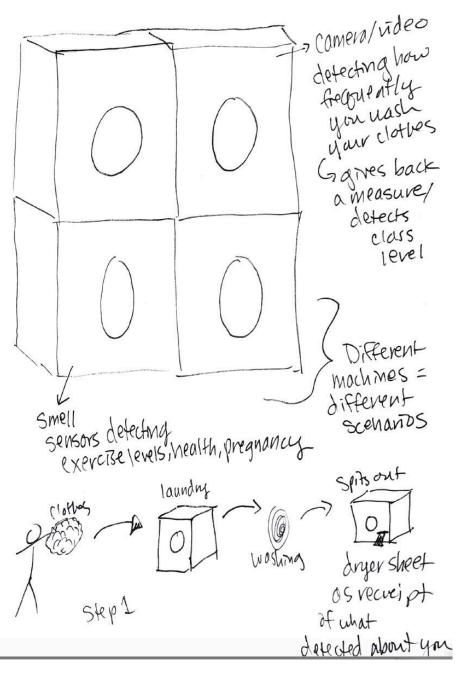
Sundasses that Double as Paracy Screen

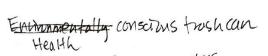


NATIONAL PARKLET

40 years ago, in 2017, Mayor Lee fought to preserve this parklet as a sensor sanctuary









14 -> Siliva, touch of your hands, etc.

Hatta concions this can



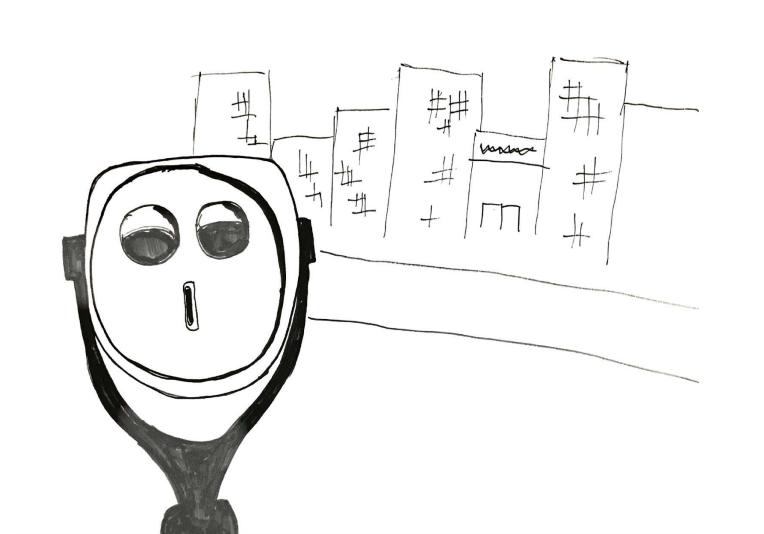
Score.

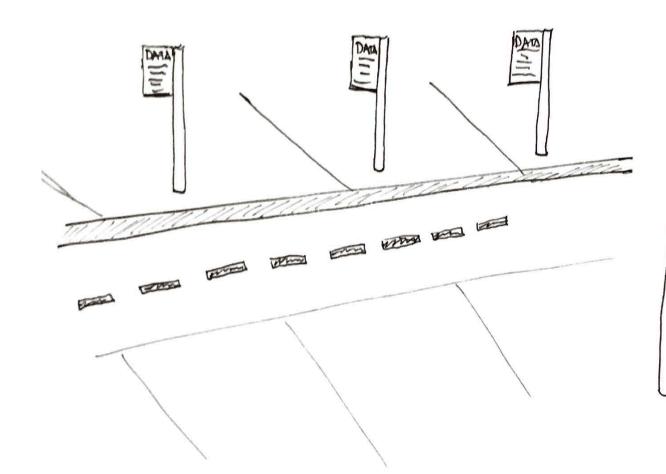
- Get taxed based on

your impact
(Your garbage fee)

- Tou get limited occess

to 'environ yesonnes'





DATA COLLECTION

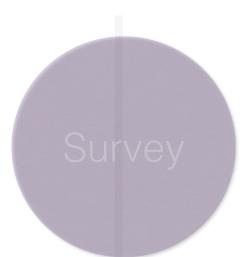
9am-6pm

DATA CLEANING WED 3-4pm

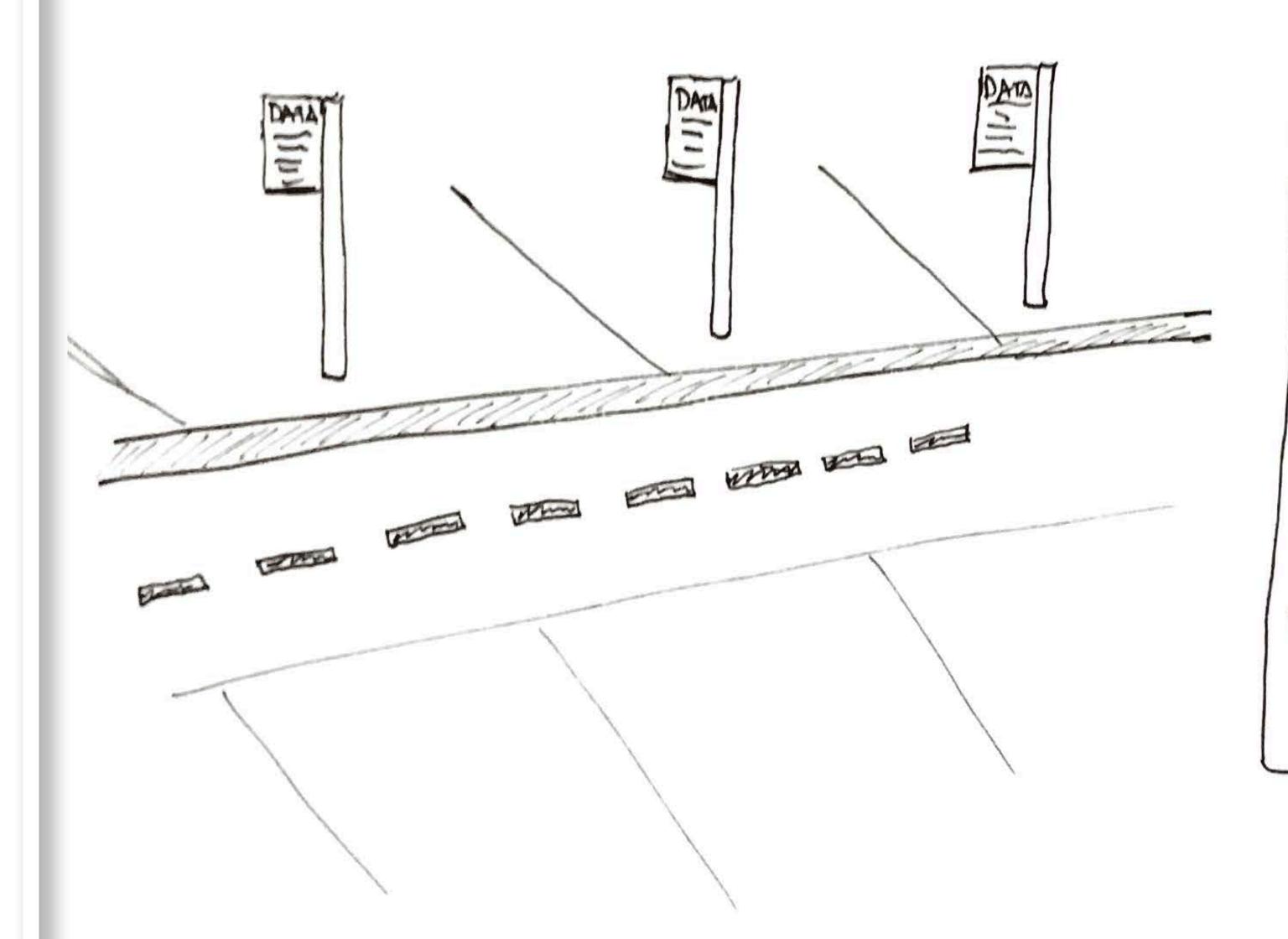
Privacy + Security Risks. See www....gov for more info.







Sketching



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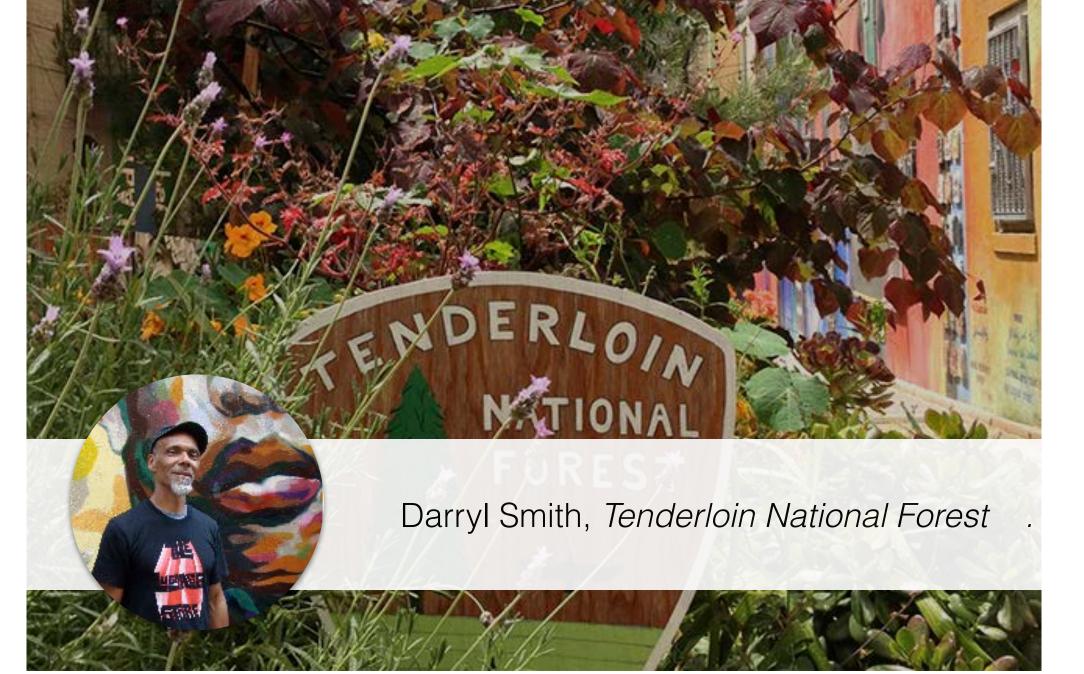


Expert nterviews

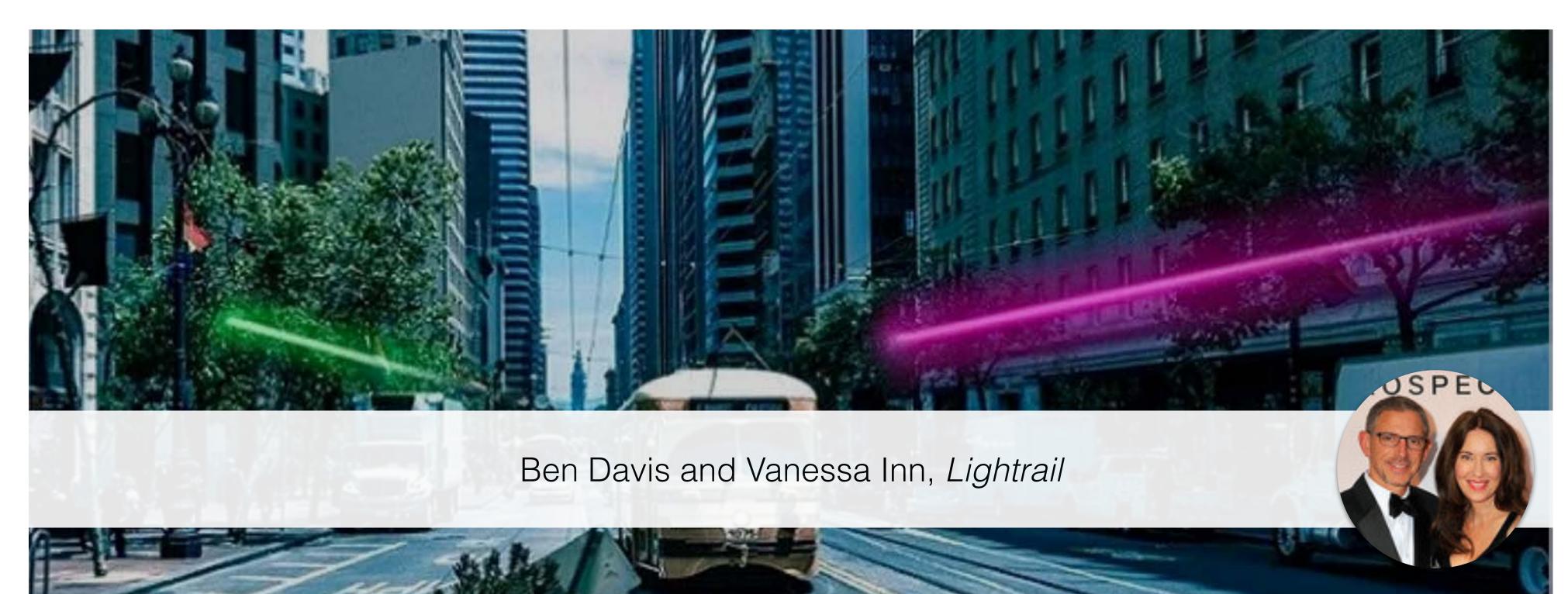
Sketching

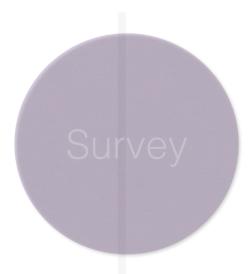
Local Artists

Focus









Expert nterviews

Sketching

Local Artists

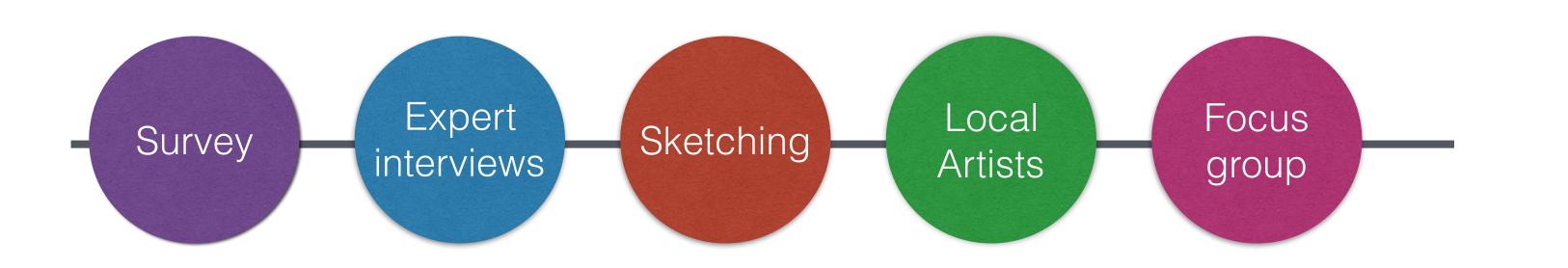
Focus





Ran a design focus group with 12 participants from various UC Berkeley departments

Key affordance of newspaper boxes: the act of taking a newspaper



Final Design

DATA COLLECTION

IN PROGRESS

DATA CLEANING Monday 3pm - 4pm

Privacy and security concerns may exist.





DATA COLLECTION

IN PROGRESS

DATA CLEANING Monday 3pm - 4pm

Privacy and security concerns may exist.



DATA COLLECTION

IN PROGRESS

DATA CLEANING Monday 3pm - 4pm

Privacy and security concerns may exist.



DATA COLLECTION TERMS of SERVICE

Array of Things Governance Policy and Process

1.Purpose and Scope This document provides a framework within which the University of Chicago and Argonne National Labs (program operators) and the City of Chicago will implement and manage the Array of Things (AoT) in Chicago by 1) defining the initial scope of the program, 2) establishing the roles and responsibilities of program partners; and, 3) describing the process by which decisions about the

1.1.Guiding Principle We value privacy, transparency, and openness.

The AoT program operators aim to build an urban-scale research instrument comprising a network of at least 500 Internet-connected "nodes," each supporting multiple environmental and air quality sensors. As a first of its kind public sensor utility. Act will produce an open and freely available

initial prototype, funded by Argonne National Laboratory, involved 12 nodes equipped with a ection of environmental sensors (e.g., temperature, light, sound, humidity, air quality). Each node was mounted on private facilities at the University of Chicago, Argonous National Laboratory, and OuP and University for facing purposes, with installation occurring between July 2014 and June 2015. Beginning in summer 2016 a second set of prototypes will be mounted in Chicago on street signal light poles and external building waits. The actions will be vapamed to roughly 2000 modes from 2016 to 2016. The program operators will develop functionality to enable research, application development, educations for the contraction of action 2016. ducation, prototyping, or demonstration projects. The location of each of the 500 nodes will determined via the process identified later in this document (§4.3). The program will be evaluated nine months after the second set of prototype nodes are mounted in the City and every IZ months from that time on. The evaluation criteria and the results of each review will be made available to the make.

ensor readings will be processed and sent to a database managed by the program operators. A period of evidention and calibration will be required for each sensor. This period will vary based on the sensor or data that is collection. As one function of Adr is to evaluate new sensor technologies, the evidentialor process will also involve a deformation as to whether a particular sensor is producing accurate data reliably. Once evaluation determines that the sensor is producing accurate and reliables. accerate soal rensels; who executes our progress, who executes any acceptance with the Art privacy policy will be made publicly available via the City's Data Portal to support application development and data analysis. All (http://arrayotthings.us.), including educational materials regarding the hardware and software of this senser data will be publicly available as spec data, under the stewarchaip of the University of Chicago. The regional spectrum by a designed the hard system to protect privacy. This document describes the processes, procedures, and technologies that will be used to essure sensor data is both operation, governance body meeting minutes, and reports. The progress presents will produce an annual report, which will be published to its website and will summarize any legal request or changes made to noticies, processes, node locations, or capabilities made throughout the year.

he AoT will operate as an instrument, involving an infrastructure and related services for research, development, education, prototypina, and demonstration of both open and proprietary technologies and services aimed at improving the unstainability, resilience, efficient operation, and inability of clinks. In short, And "ulapport" Samert City" research, development, and decidion, An I'in designed to support three general types of instrument use: the collection and open publication of seasor data when the contract of the contract of the collection and open publication of seasor data. of public urban spaces, research in areas such as sensing and information/communications echnologies, and support for research in software and services.

Each node will report sensor values at regular intervals. To comply with security and privacy requirements. See Array of Thisps Princey Palicy), data will be encrypted and transmitted to a database managed by the program operators. Only data melting the And privacy policy standards will be considered. In patients of the City's Data Pertal and may also be published to other data analytics services as Seggentees that meet sale. needed. All data published from the platform will be open and free of charge. In order to support economic development, data from approved experimental sensors, installed for specific research and development purposes, may be withheld from (or apprepated for) publication for a period of time in order to marked intallished and answering.

calibration of the sensor. 2.1.Support for Evolving Technologies Over Time

Z.L.Support for crowing recanouppe over time

The AcT involves engineering and placing a network of physically secure enclosures with power,
Internet access, and standard specifications that will allow for efficient installation/replacement of those devices by City technicians. These devices must operate for period of months without physical intervention, and must be provided with adequate environmental protection, particularly with respect n temperature and moisture. The program operators and the City of Chicago will cooperate to enable nodes to be repaired and replaced in case of damage or loss.

2.2. Support for Saftware and Services Projects
Though the pace at which information and communication technologies evolves is rapid, there is a much larger potential research and education community focused on new software and services, harnessing existing hardware technologies. To support such projects will require that the AoT allow controlled access to shared programmable devices within the nodes. Once this functionality is available, changes may be required to And polices and processes to prevent incommenting services reliable and usable functions for provisioning and scheduling resources, validating and loading custom software, and restoring the devices to a known state between experiments.

The University of Chicago and Argonne National Laboratory will manage and operate the AoT program, in partnership with the City. The program operators are responsible for the design, development, repair, replacement, and support of the nodes and the technical infrastructure needed to enable data collection, processing, and storage. The program operators will leverage strategic partnerships with outside entities, including but not

we oversight council (EOC) will oversee the AoT program, and is responsible for setting

This policy will be reviewed annually at minimum by the program operators and the EOC for possible

Technology, City of Chicago and the Director of the Unban Center for Competation and Data at University of Chicago and Argonne National Luboratory, with additional members selected from academia, industry, not-for-profits, and the community. These members will be invited based on commendations from AoT partners and others who work with community groups, or solicited v

apabilities, access to data and other resources, and communication and interactions with the City

and community.

The council will be co-chaired by the Commissioner of the City's Department of Innovation a

public meetings and the Acl weakler. The Commissioner of the City's Department of Innovation and Technology will have the Final approval reparding decisions of the EOC.

3.3. Technical Security and Princey Group
A technical Security and Princey Group
A technical security and princey group (TSPG) will review the Acit technology as it pertains to security and princey, and will make recommendations to the FOC. security and privacy, and will make recommendations to the EOC.

The group will be chained by the Director of the Center for Applied Cybersecurity Research, Indiana University, with Additional members including the City's Chief Information Security Officer and others with relevant appartus, selected from industry and academia."

The ISPG will meet quarterly or as needed.

3.4. Scientific Review Group In some cases external science partners may propose changes or additions to the instrumer

hardware and/or coffware. A scientific review group (SSG) will workland the appeals from AcT participants as well as other parties (individuals, community groups, companies, universities, etc.). The SSG will provide a regular report on these proposals to the Executive Oversight Council. The SRG will be co-chained by the Chell Technology Officer of the Urban Center for Computation and Data at University of Chicago and Argonne National Laboratory and a senior representative from the

As a public data utility, a set of policies and processes is required to ensure that the instrument operates according to the program's quiting principles and within the established scope and budget. These policies and processes must protect the princip and security of Chicago residents and visitors, ensure accountability and transparency, and consider education and proactive communication.

This policy document, and associated data management and privacy policy documents, will be maintained and updated under the direction of the EOC, with at least an annual review.

4.2. Transparency
The AOI program operators will maintain a public website with corrent information on the project

The locations selected for AoT nodes will maximize the positive impact that city residents, policy practitioners, and scientists can obtain from the project.

Node locations may be proposed by any individual or group, and locations will be selected with the goal of enabling at least two of the following benefits within a geographic area: (a)Nodes can provide data relevant to a local concern or issue of importance to the residents and

(b)A relevant scientific research question may be better investigated with data from the instrument (c)A planned or potential policy or investment that could be optimized, measured, or informed based on use of data from the instrument, and/or from scientific analysis of that data in addition, neighborhood density, the location of partner institutions within a geographic area, and the availability of traffic lights or alternative structures (e.g. a building wall) required to mount the

nodes will be considered.

Suggestions that meet selection criteria should be submitted first to the program operators at

AnT@uchicago.edu, and will then be reviewed and pre-approved by the EOC if the program operators

agree that the criteria has been met. Prior to deploying AoT nodes in a given geographical area, the program operators and/ or the Commissioner or designees of the City's Department of Innovation and Technology will:

1.Meet with adderman and community leaders to discuss the objectives of the project and the policies and processes in place regarding issues such as privacy, coordinated by the University of Chicago

residuelts, where the qualis and defails of the project will be discussed, including an emphasis or policies and procedures reporting safety, security, and privacy of the network, and on the benefits to the eniphathomac associated with the subschool associated with the absenct Local model will be invited to cover these workshops 3.Post the privacy policy online prior to community meetings for residents to provide comments and 4.Present the locations to the EOC for final approval.

4.4 Mode Security
The An't hardware and software design and operation procedures follow security practices developed by and for national laboratories.
The TSPS will oversee the review and test the instrument to ensure security, with the goal of

AoT website. Changes to the node capabilities (i.e., changes to existing sensors and introduction of new sensors) that require a change in the privacy policy must be first reviewed by the TSPG. The TSPG will advise the EOC regarding approval of such changes.

Micrichops will be designed and led by AoT partners and the University of Chicago. These will build on prior work including plict workshops for high school students, hald in 2014 and 2015, as well as an Arwake curricul Implying operation and extraording a secretary participant and assessment of the secretary participant and assessment and assessment additional opportunities to support for education and training programs leveraging the instrument. Educational materials will be made available via the AOT website.

changes. Others may request a review of this policy or submit a question to the operators AnT@uchicago.edu. Any proposed changes to the policy will be posted online for public review and

The Array of Things is designed to collect and share data about Chicage's orban environment to support research that seeks will provide insight into city challenges. This includes, but is not limited to, information about temperature, humidity, barometric pressure, vibration, air quality, cloud cover,

analyzing images.

The purpose of this policy is to disclose the privacy principles and practices for the Array of Things program. It is complemented by the Array of Things Governance Policy and Process document, which lefines how decisions about the program will be made. The privacy policy sets forth how the operators of the Array of Things program will collect and manage data, some of which may include personal information or Personally Identifiable Information (PII). The operators of the Array of Things are defined as the University of Chicago and Argonne National Laboratory.

We value privacy, transparency, and openness

Personally Identifiable Information or PIII is any information about an individual, including "(I) any information that can be used to distinguish or trace an individual's identify, such as name, social security number, date and place of birth, mother's maiden name, or biometric records; and (2) any other information that is linked or linkable to an individual, such as medical, educational, financial,

Email or street address information

nformation about an individual that is linked or linkable to one of the above (e.g., date of birth, place of birth, race, religion, weight, activities, geographical indicators, employment information,

(P11). Updates to the NIST quidelines will be reviewed as part of the regular review of this policy. Th policy also recognizes the sensitivity regarding location information, electronic device identifiers, or

All policies, hardware and software spacifications, design, and open source code will be publicly posted and made freely available online. Public source data will be published to the City of Chicago's Data Partial at defactly/chicago any. An Array of Things smanler apport will be published each year, beginning in June 2017, outlining the achievements of the program, as well as any splottes or misterated discipline.

capability to capters sensitive Pill, but may capters non-sensitive Pill in the form of defails in images from a street-facing camera or sound in the public way. Any such data, such as could be frome in images or sounds, will not be made public. Inclusion of clamera in the nodes is intended for detaction of specific conditions such as street flooding, car/bicycle traffic, storm conditions, or poor violatity. To support such capabilities, images will be analyzed using an image processing computer within th node, after which the images will be deleted. All image processing operations i

that may contain non-sensitive PII will be periodically collected to improve, develop, and enhance algorithms that could detect and report on conditions such as noted above. This raw calibration dat contractors and approved scientific partners who need to process the data for instrument design a calibration purposes. All individuals with access to this data will be subject to strict contract confidentiality obligations and will be subject to discipline and/or termination if they fail to meet

Chicago and Argune Aktional Loboratory Joseph and the City of Licago, with Imped provided by an independent review committee convened by the Technical Security and Privacy Group, as described in the Array of Things Governance Policy and Process document.

This policy will be reviewed annually at minimum by the operators, the AoT Technical Security and Privacy Group, and the Executive Oversight Council Calso described in the Array of Things Governance Policy and Decease Governance.

4.5 Note capabilities

Coverance Policy and Process document for possible changes. Others may request a review of this hold capabilities (i.e., the list of sensors and the associated data collected) will be maintained on the Ad whistle. Changes to the node capabilities (i.e. changes to askiding sensors and introduction of whistle. Changes to the operators through the project's public website (http://arrayofth-international sensors). through the project's social media account (@arrayofthings in Twitter)





The Daily Data

Protecting our city one sensor at a time

Tuesday, May 9, 2020

Image Recognition Prevents Flooding

0

0

0

AMMINIST -

New image recognition software detects early flooding on city and neighborhood streets in light of recent storm weather. With this technology, the City of Berkely will be able to respond quickly to unsafe situations and better design roads.



No flood currently detected

Food for the Homeless

Sensors on benches enable real-time detection of the location of homeless individuals in the City of Berkeley. Startups such as Re-plate can now deliver excess food to those in need before the food spoils.



Environment noise level

Pedestrian Safety

Noise level, vibration detection, and pedestrian counts are being used to automatically adjust speed limits and traffic lights for safety.

0

THE DATA INQUIRER

Vol. IV, No. 3

0

Improving our city one sensor at a time

Tuesday, May 9, 2020



Cameras Detect Immigrants

New facial recognition technology has been installed in surveillance cameras in the city of Berkeley. This measure is in keeping with recent efforts to identify and deport illegal immigrants in the State of California.

Loitering Decreases

New sensors on sidewalks and benches allow for real-time detection of loitering and homelessness. Police are now informed of this illicit activity and can put a stop to it in record time.



Protest Detection



Latest protest activity was 11 days ago

Noise level sensors inform the Berkeley City Police Department when there is protest activity.

0

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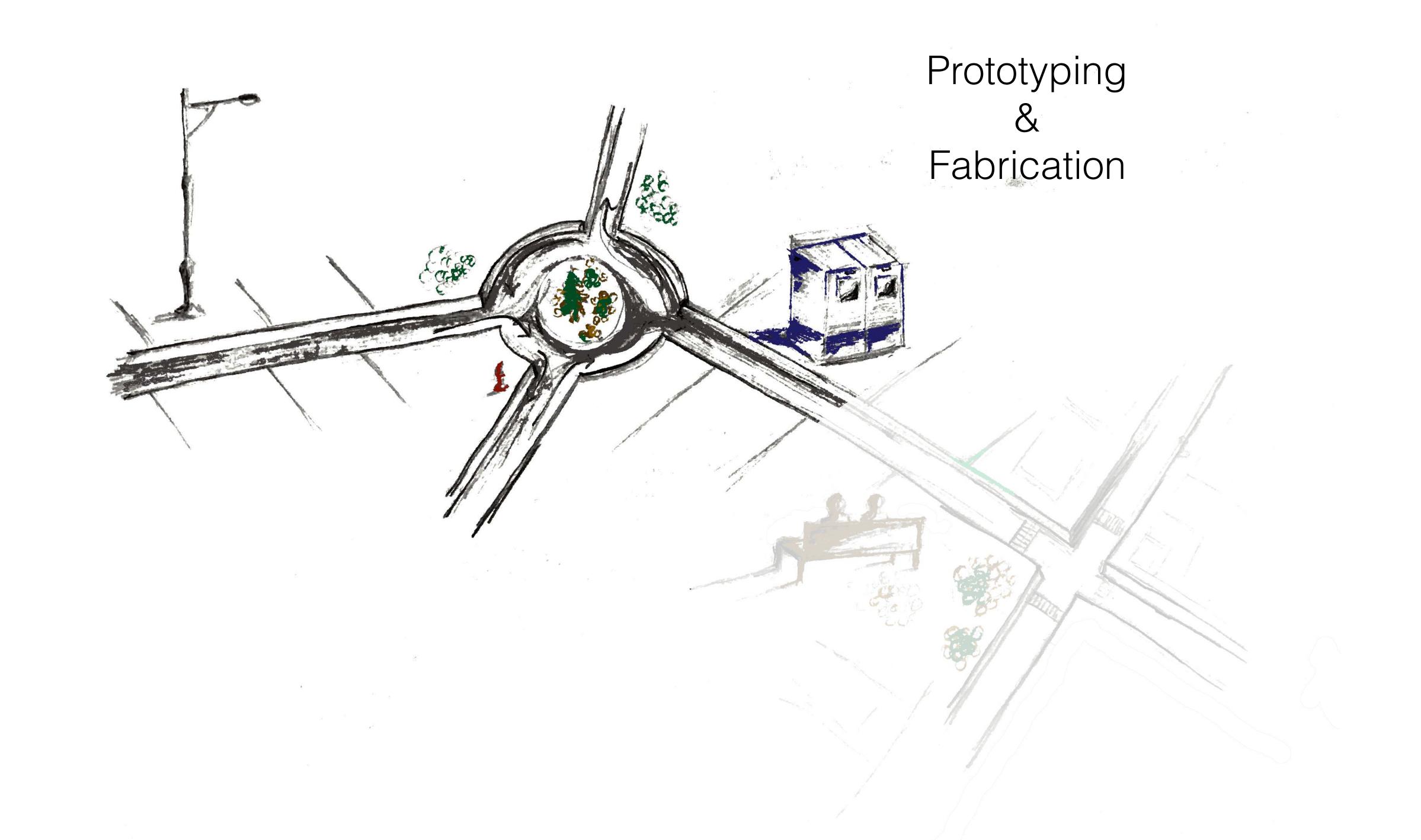
Protest Detection

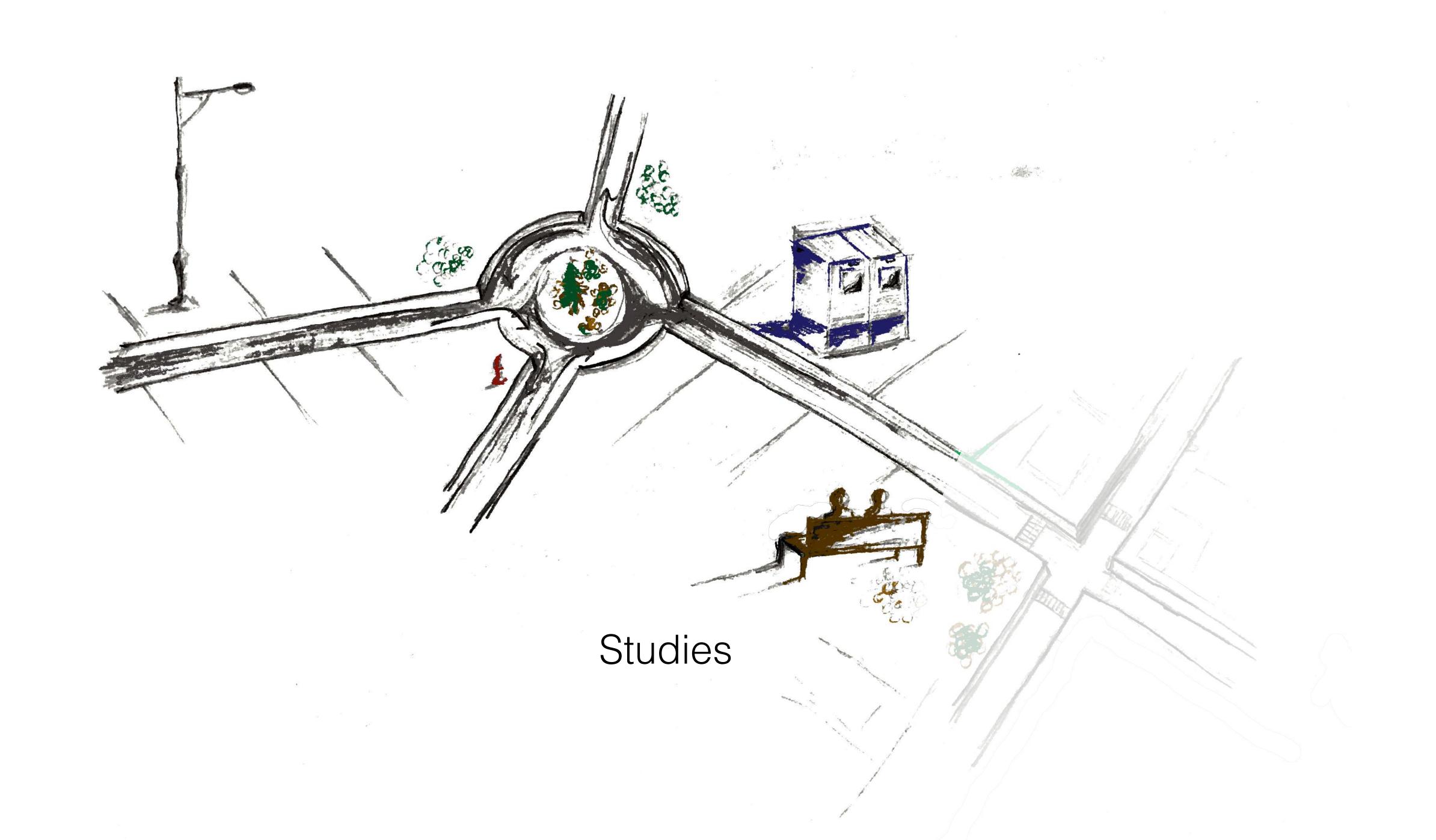


Latest protest activity was 11 days ago

Noise level sensors inform the Berkeley City Police Department when there is protest activity.

0







Pilot Testing

Students gave usability feedback





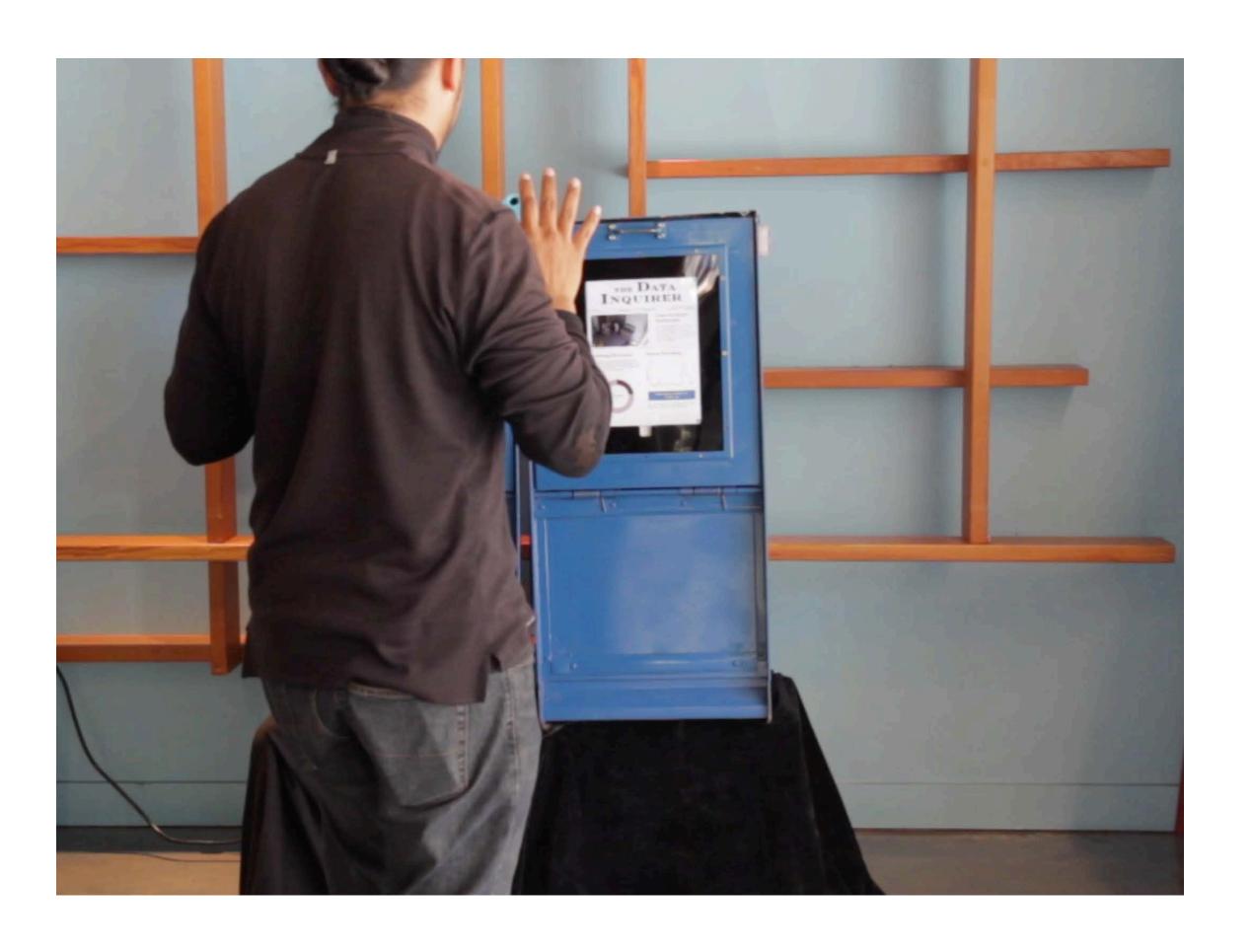




Findings

Initial Reactions





"I feel very paranoid right now."

"I'd like to stay away from this. It looks intimidating."

Intentionally made this experience uncomfortable and provocative

While Interacting



"There are positive applications for these technologies. But, there's also a way to abuse.

The technology is neutral.

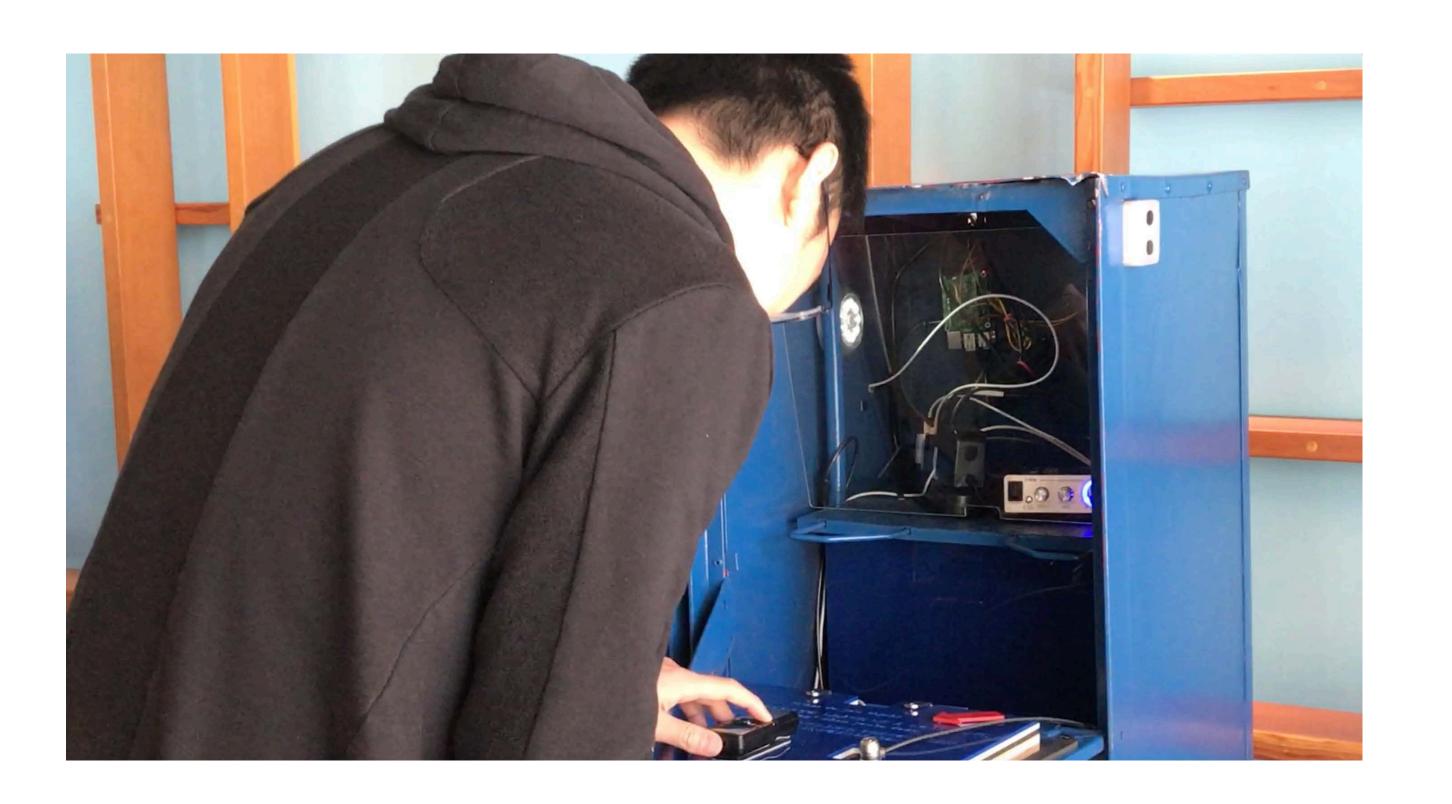
The use cases are not."

Displayed both positive and negative uses of the same technology to build awareness



While Interacting

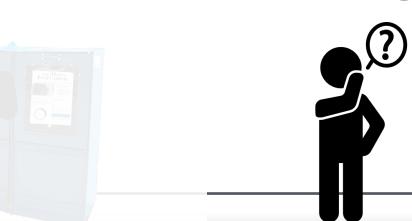




"This is cool and it's creepy. I like that you can see the technology. Almost like a behind-the-curtain look."

Increased transparency of smart city technologies

Reflecting after



"I'm trying to understand how my existence here could be used in some way. What's the **purpose of that data**? I have more questions than I have answers."

"There are questions of who is using this. How is the data being interpreted and used."

"The right one feels scarier than the left, but I'm not sure. The left one seems more positive in a way that may be lying, **like** it's hiding things."

"What if there are **false positives** in the collected data? What do you do with that?"

"This makes me question the **nuances** around how we determine whether something is invasive or harmful, and how we determine when it's beneficial. What goes into that?"

Reflecting after



"I'm trying to understand how my existence here could be used in some way. What's the **purpose of that data**? I have more questions than I have answers."

"There are questions of who is using this. How is the data being interpreted and used."

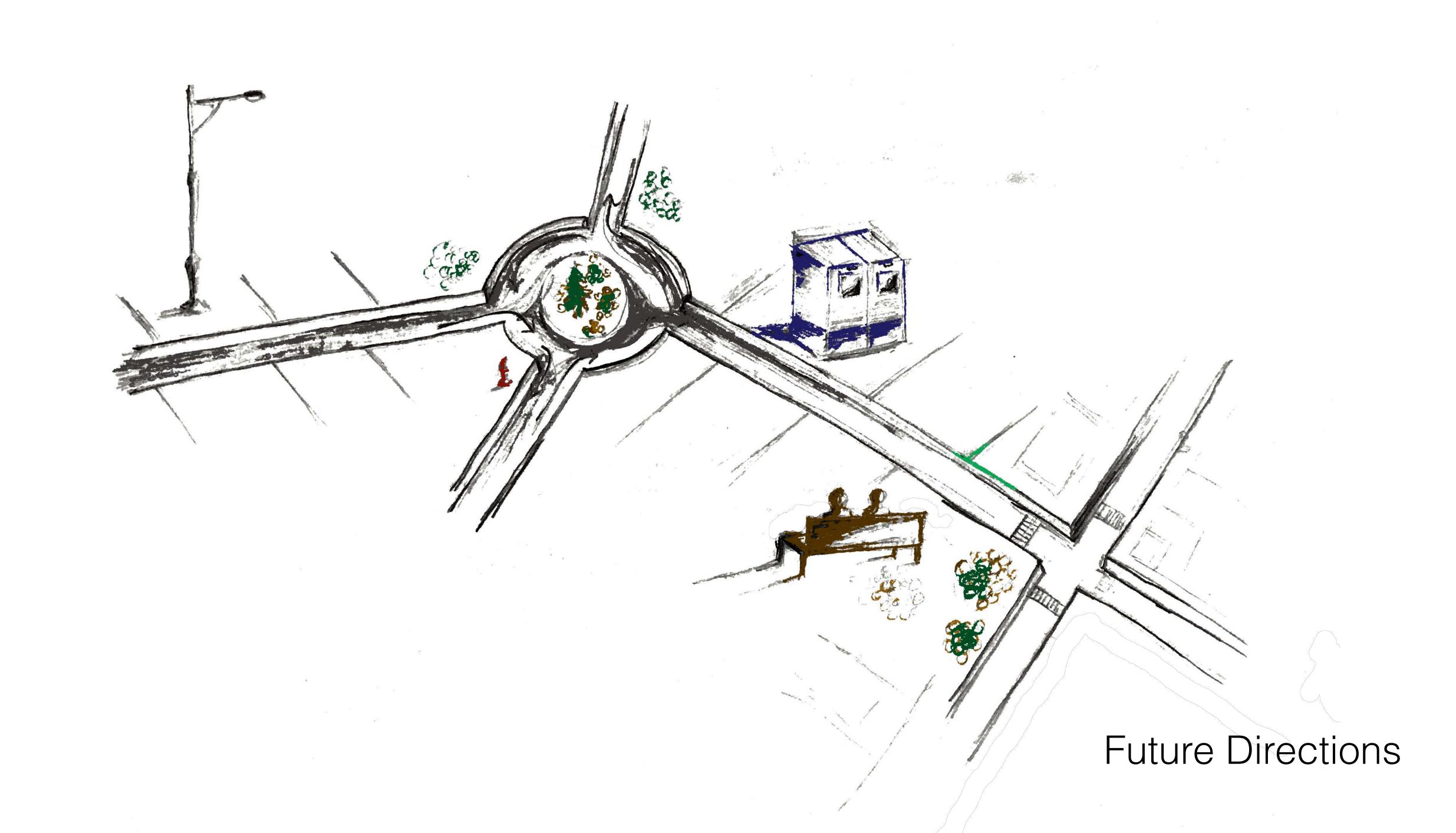
"The right one feels scarier than the left, but I'm not sure. The left one seems more positive in a way that may be lying, **like** it's hiding things."

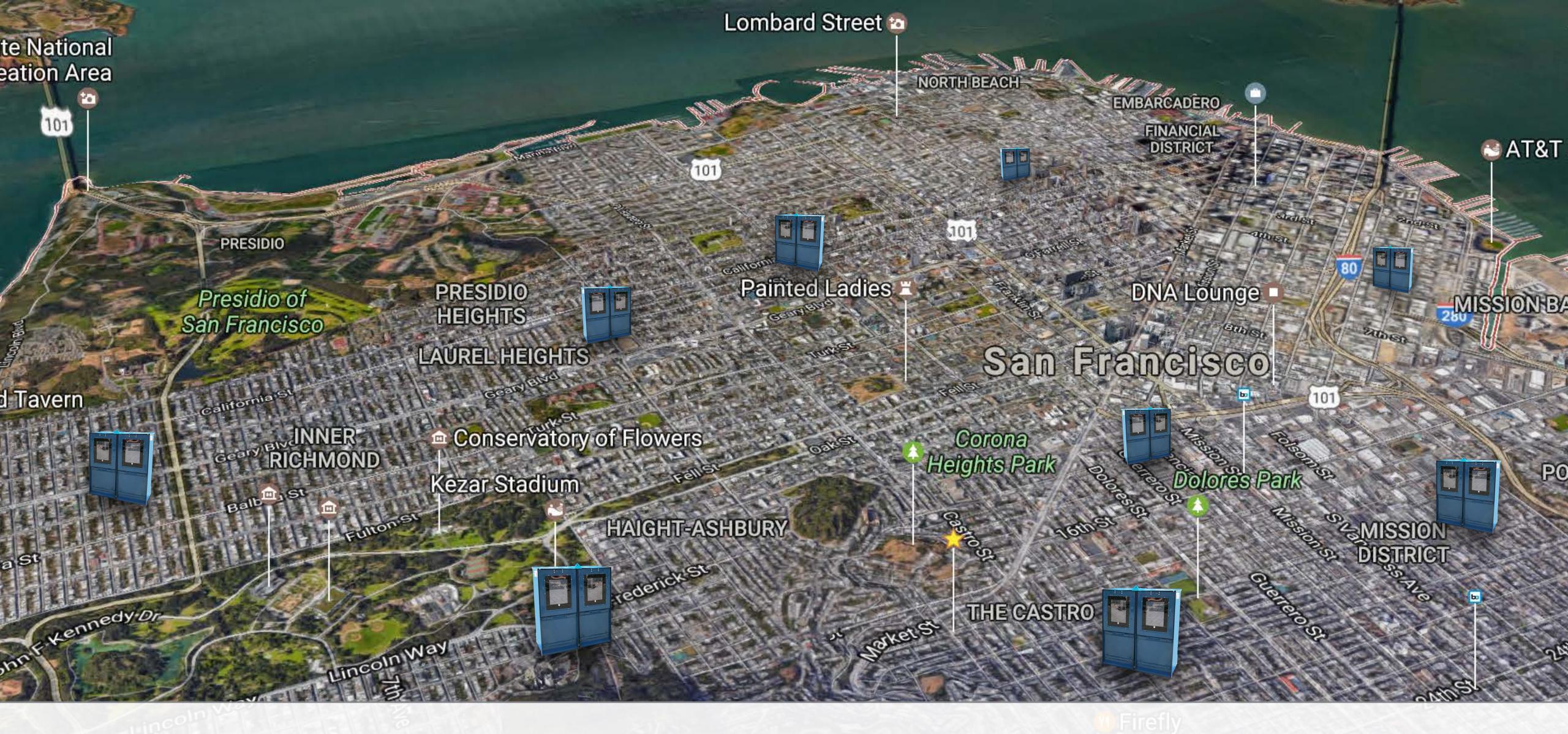
"What if there are false positives in the collected data? What do you do with that?"

"This makes me question the **nuances** around how we determine whether something is invasive or harmful, and how we determine when it's beneficial."

Who collects and uses the data?

How are they using the data?





How might such interactive installations change the way you think about privacy and public data collection at scale?



Thank you!

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